

# Using List Decoding to Improve the Finite-Length Performance of Sparse Regression Codes

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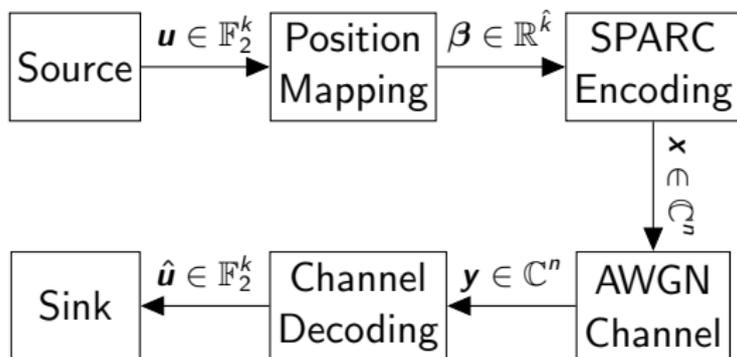
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- 1 Sparse Regression Codes (SPARCs) and their Decoders
- 2 List Decoding for SPARCs concatenated with CRC codes
- 3 Simulation Results
- 4 Conclusion

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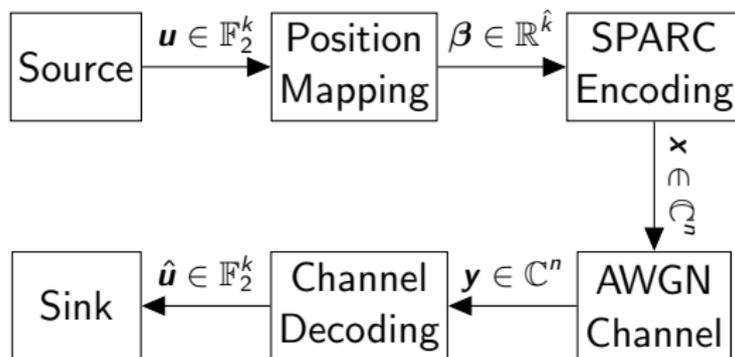
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# Data Communication Model for SPARCs



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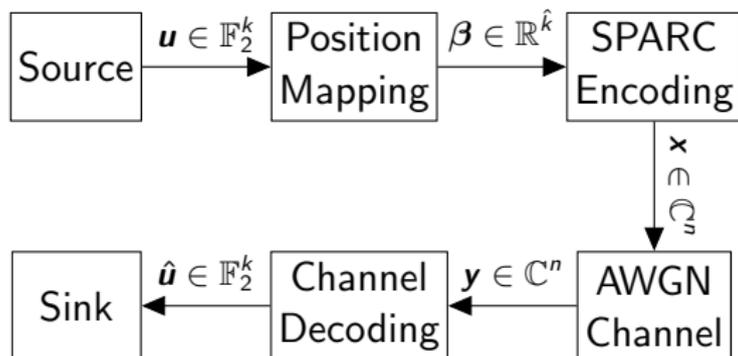
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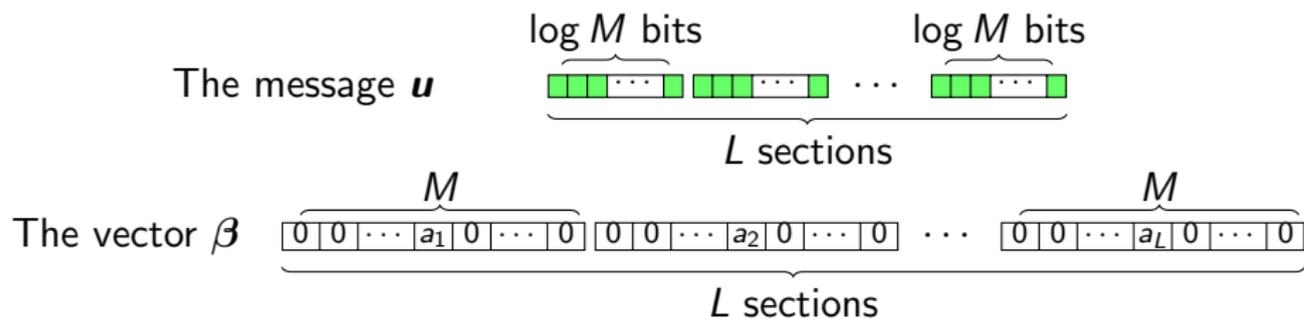
Data Communication Model for SPARCs.

Before we move into the SPARC encoding part, we need to convert the messages we want to transmit into a sparse structured vector  $\beta$  via “position mapping”.

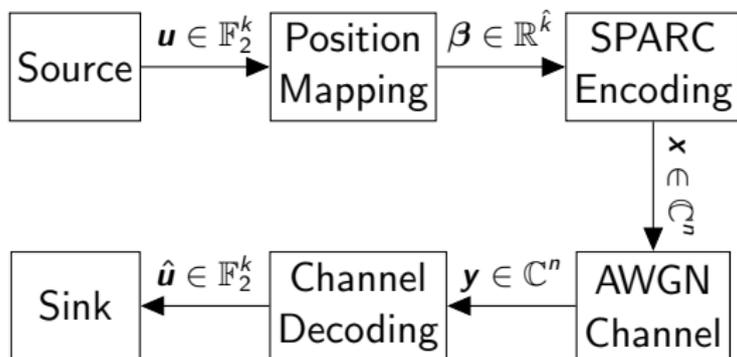
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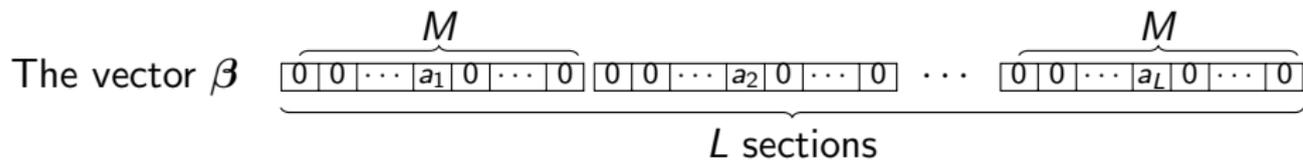
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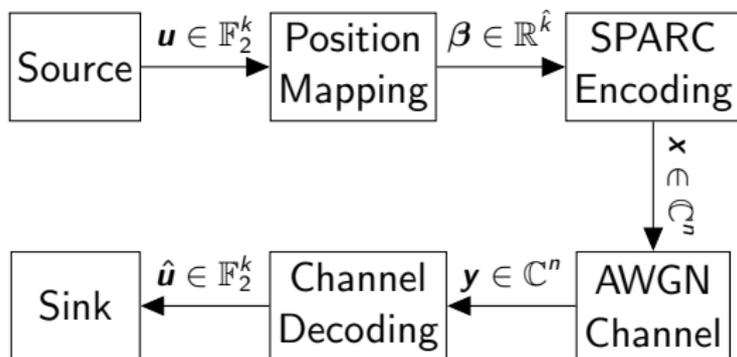
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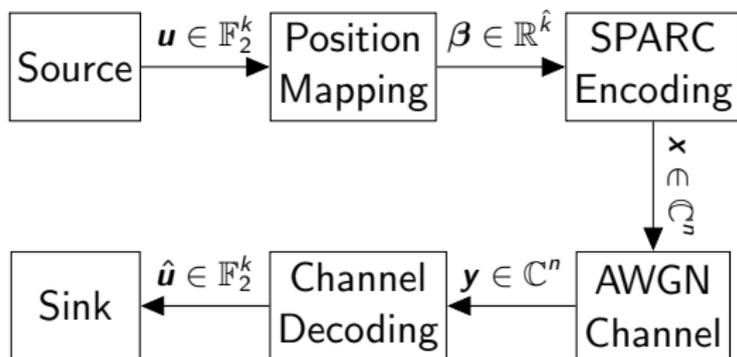
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The vector  $\beta$  is represented as a sequence of  $L$  sections, each of length  $M$ . Each section contains a single non-zero element  $a_\ell$  in the  $\ell$ -th position, with zeros elsewhere. The diagram shows the structure of  $\beta$  as:

$$\beta = \underbrace{\left[ \overbrace{0 \ 0 \ \dots \ a_1 \ 0 \ \dots \ 0}^M \quad \overbrace{0 \ 0 \ \dots \ a_2 \ 0 \ \dots \ 0}^M \quad \dots \quad \overbrace{0 \ 0 \ \dots \ a_L \ 0 \ \dots \ 0}^M \right]}_{L \text{ sections}}$$

The non-zero elements  $a_\ell$  are chosen to be  $\sqrt{nP_\ell}$ , where  $\sum_{\ell=1}^L P_\ell = P$ .

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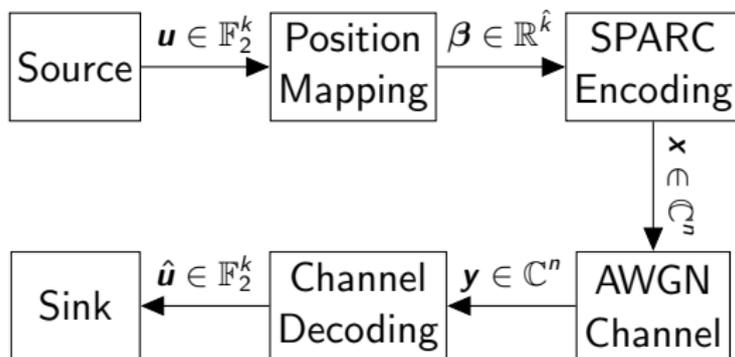
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This guarantees that  $\frac{1}{n} \sum_{i=1}^n |x_i|^2 \leq P$  with high probability.

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The choice of  $\{P_\ell\}$  affects the finite-length performance of SPARCs and the iterative power allocation scheme gives us the resulting  $\{P_\ell\}$ .

# SPARC Encoding

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- In actual implementation, we usually use the suitably sub-sampled discrete Fourier transform (DFT) matrix as the design matrix  $\mathbf{A}$  instead of the original design matrix in order to reduce the encoding complexity.

# Various Decoders for SPARCs (over real-valued AWGNs)

At the receiver side,

- the received vector  $\mathbf{y}$  can be expressed as  $\mathbf{A}\boldsymbol{\beta} + \mathbf{w}$ .
- The additive noise vector  $\mathbf{w} = (w_i)_{i \in [n]}$  and  $w_i$  are i.i.d.  $\mathcal{CN}(0, \sigma^2)$  for all  $i \in [n]$ .
- Our goal for decoding is to estimate  $\boldsymbol{\beta}$  based on  $\mathbf{y}$ , the design matrix  $\mathbf{A}$ , and the structure of  $\boldsymbol{\beta}$ .

# Various Decoders for SPARCs (over real-valued AWGNs)

There are a few previous results on decoding of SPARCs (over real-valued AWGNs) listed chronologically.

- SPARCs were first introduced by Joseph and Barron (2012) and the optimal decoder (i.e., the **maximum likelihood decoder**) was proposed accordingly.
- Joseph and Barron (2014) introduced an efficient decoding algorithm called “**adaptive successive decoding**”.
- An **adaptive soft-decision successive decoder** was proposed by Barron and Cho (2012).
- The **approximate message passing (AMP)** decoder was first proposed by Barbier and Krzakala (2014), and then it was rigorously proven to be asymptotically capacity-achieving by Rush *et al.* (2017).

## AMP decoding for SPARCs over complex-valued AWGNs

Initialize  $\beta^0 := 0$ . For  $t = 0, 1, 2, \dots$ , compute

$$\mathbf{z}^t := \mathbf{y} - \mathbf{A}\beta^t + \frac{\mathbf{z}^{t-1}}{\tau_{t-1}^2} \left( P - \frac{\|\beta^t\|^2}{n} \right),$$

$$\beta_i^{t+1} := \eta_i^t (\beta^t + \mathbf{A}^* \mathbf{z}^t), \quad i = 1, \dots, ML,$$

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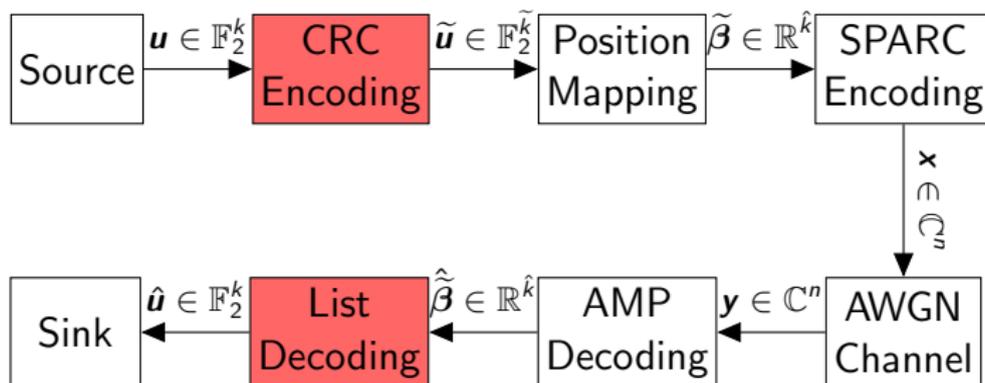
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- The additive Gaussian noise vector  $\mathbf{u}$  has i.i.d.  $\mathcal{CN}(0, 1)$  entries and is independent with  $\beta$ .
- The constants  $\{\tau_t\}$  can be determined via the state evolution.
- In actual implementation, we use an online estimate  $\hat{\tau}_t^2 = \frac{\|\mathbf{z}^t\|^2}{n}$ .
- the denoiser functions  $\eta_i^t(\cdot)$  are the Bayes-optimal estimators.

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## Block diagram for SPARCs concatenated with CRC codes



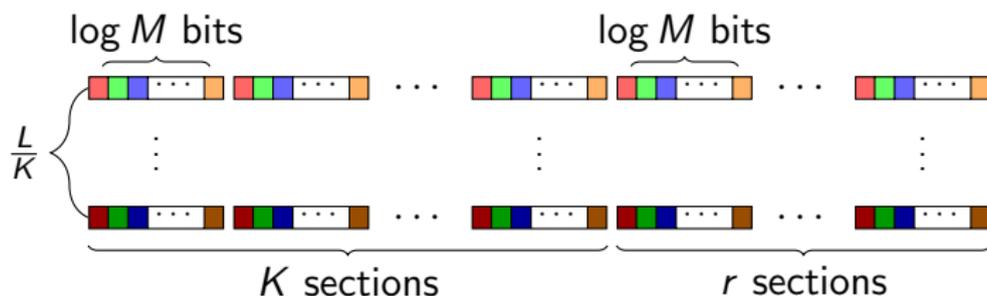
Block diagram of a communication system employing SPARCs combined with CRC codes as outer-error detection codes.

# CRC Encoding

There are two ways to employ CRC codes:

- an “inter-section-based approach” that encodes the original message to generate extra check sections bit by bit when we focus on the bit error rate (BER) performance;
- an “intra-section-based approach” that encodes them section by section when we focus on the section error rate (SecER) performance.

The inter-section-based approach is illustrated via the following figure.



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- ⑤ For each codeword  $C_i$ , once we have established such a binary tree, list decoding will give us  **$S$  ordered candidates** corresponding to the remaining  $S$  paths from the root to the leaves.

# AMP again

Besides the regular list decoding assisted with CRC codes, running AMP decoding and list decoding again for **wrongly decoded sections** might further improve the performance. More specifically, we can apply the following procedure:

- 1 Run AMP decoding as before, except that at each iteration, fix the “correctly decoded” parts of the message and only estimate the other sections. When the maximum number of iteration  $T$  is reached or some halting condition is satisfied, the algorithm outputs  $\tilde{\beta}^*$ .
- 2 Take only the wrongly decoded sections of  $\tilde{\beta}^*$ , denoted by  $\tilde{\beta}_{\text{WD}}^*$ , and apply the above list decoding procedure to  $\tilde{\beta}_{\text{WD}}^*$ , which gives the decoded message.

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# Simulation Results

We consider the following setups for our simulation results.

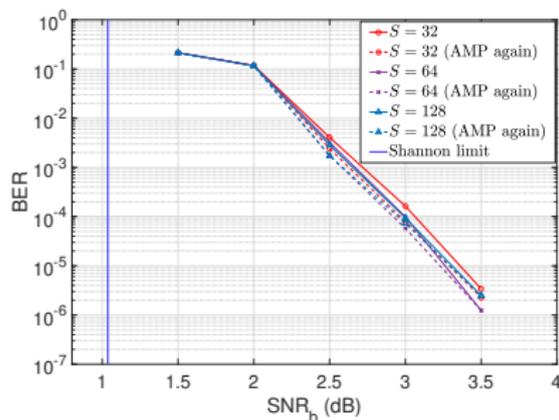
- we choose the number of information sections  $L$  to be 1000,
- we choose the size of each section  $M$  to be 512,
- we choose the number of CRC code information bits  $K$  to be 100,
- we use the CRC code with 8 redundant bits and its generator polynomial is  $0x97 = x^8 + x^5 + x^3 + x^2 + x + 1$ .

# Simulation Results

We consider SPARCs with overall rate  $R = 0.8$  bits/(channel use)/dimension, in which case  $R_{\text{PA}} = 0$  and the iterative power allocation scheme gives a flat allocation.

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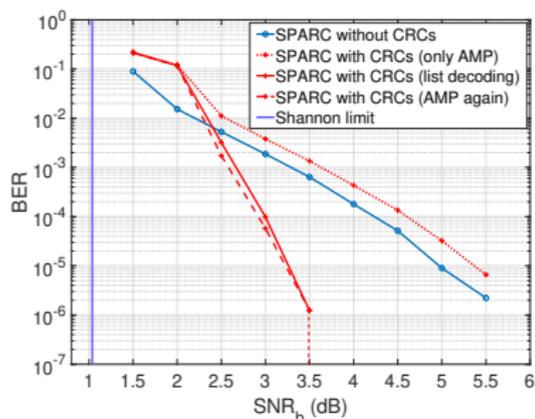
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- The figure shows the BER performance comparison of SPARCs with CRC codes for different list sizes.
- From this figure we deduce that  $S = 64$  is the best choice for the considered setup.

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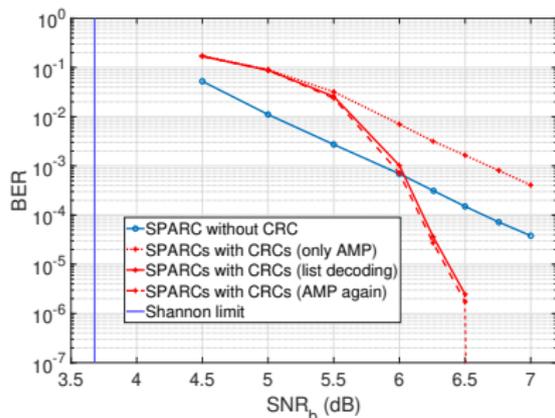
- Figure shows the BER performance comparison of **low-rate** SPARCs with CRC codes using list decoding and original SPARCs without CRC codes using only AMP.
- The figure shows that SPARCs concatenated with CRC codes can provide a steep waterfall-like behavior above a threshold of  $\text{SNR}_b = 3.5$  dB.

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- Figure shows the BER performance comparison of **high-rate** SPARCs with CRC codes using list decoding and original SPARCs without CRC codes using only AMP.
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- We proposed a **concatenated coding scheme** that uses SPARCs concatenated with CRC codes on the encoding side and uses **list decoding** on the decoding side.
- Simulation results showed that the **finite-length performance** is significantly improved compared with the original SPARCs.

# Thanks!