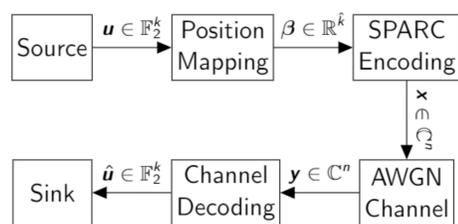


# Using List Decoding to Improve the Finite-Length Performance of Sparse Regression Codes

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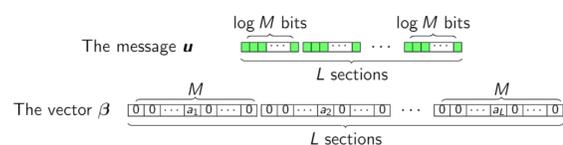
## Introduction



Data Communication Model for SPARCs.

Sparse regression codes (SPARCs) were first introduced by Joseph *et al.* for efficient communication over additive white Gaussian noise (AWGN) channels. We will introduce previous works regarding SPARCs based on the above blocks.

- Position Mapping:



- SPARC Encoding: the codeword  $x$  of length  $n$  is given by the matrix-vector multiplication, i.e.,  $x = A\beta$ .

- ✓ Theoretically, the matrix  $A$  of size  $n \times ML$  is the so-called **design matrix** and its entries are i.i.d. Gaussian  $\sim \mathcal{CN}(0, 1/n)$ .
- ✓ Practically, use the suitably **sub-sampled discrete Fourier transform (DFT) matrix**.
- Various Decoders (over real-valued AWGNs): estimate  $\beta$  based on  $y$ , the design matrix  $A$ , and the structure of  $\beta$ , where  $y$  can be expressed as  $A\beta + w$  and  $w = (w_i)_{i \in [n]}$  with  $w_i$  i.i.d.  $\mathcal{CN}(0, \sigma^2)$  for all  $i \in [n]$ .
- ✓ SPARCs were first introduced by Joseph and Barron (2012) and the optimal decoder (i.e., the **maximum likelihood decoder**) was proposed accordingly.
- ✓ Joseph and Barron (2014) introduced an efficient decoding algorithm called “**adaptive successive decoding**”.
- ✓ An **adaptive soft-decision successive decoder** was proposed by Barron and Cho (2012).
- ✓ The **approximate message passing (AMP)** decoder was first proposed by Barbier and Krzakala (2014), and then it was rigorously proven to be asymptotically capacity-achieving by Rush *et al.* (2017).

## AMP Decoding over Complex-valued AWGNs

Initialize  $\beta^0 := 0$ . For  $t = 0, 1, 2, \dots$ , compute

$$z^t := y - A\beta^t + \underbrace{\frac{z^{t-1}}{\tau_{t-1}^2} \left( P - \frac{\beta^{t2}}{n} \right)}_{\text{“Onsager term”}}$$

$$\beta_i^{t+1} := \eta_i^t \left( \beta_i^t + A^* z^t \right), \quad i = 1, \dots, ML.$$

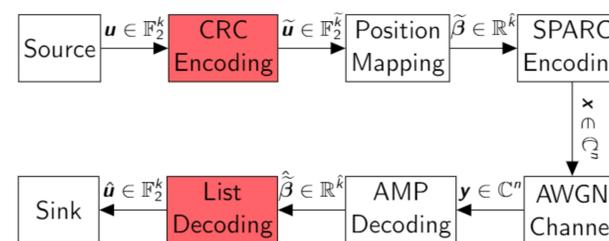
$\approx \beta + \tau^t u$

- The additive Gaussian noise vector  $u$  has i.i.d.  $\mathcal{CN}(0, 1)$  entries and is independent with  $\beta$ .
- The constants  $\{\tau_t\}$  can be determined via the state evolution.
- In actual implementation, we use an online estimate  $\hat{\tau}_t^2 = \frac{z^{t2}}{n}$ .
- the denoiser functions  $\eta_i^t(\cdot)$  are the Bayes-optimal estimators.

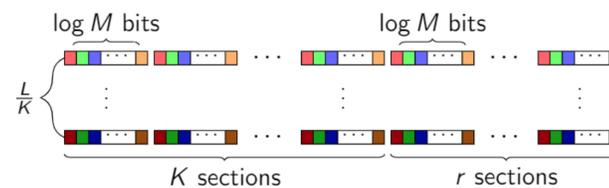
## List Decoding

- 1 Perform  $T$  iterations of AMP decoding; the resulting estimate of  $\tilde{\beta}$  is called  $\tilde{\beta}^{(T)}$ .
- 2 For each section  $\ell \in [\tilde{L}]$ , normalizing  $\tilde{\beta}_\ell^{(T)}$  gives the **a posteriori distribution estimate** of the location of the non-zero entry of  $\tilde{\beta}_\ell$ , denoted by  $\hat{\tilde{\beta}}_\ell^{(T)}$ .
- 3 For each section  $\ell \in [\tilde{L}]$ , convert the posterior distribution estimate  $\hat{\tilde{\beta}}_\ell^{(T)}$  into  **$\log_2 M$  bit-wise posterior distribution estimates**.
- 4 For each codeword  $C_i$ , we establish a **binary tree** of depth  $K + r$ , where, starting at the root, at each layer, we keep at most  $S$  branches, which are the most likely ones.
- 5 For each codeword  $C_i$ , once we have established such a binary tree, list decoding will give us  **$S$  ordered candidates** corresponding to the remaining  $S$  paths from the root to the leaves.

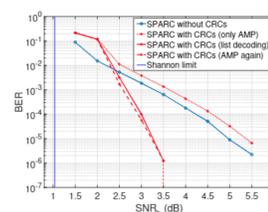
## Concatenated Coding Scheme



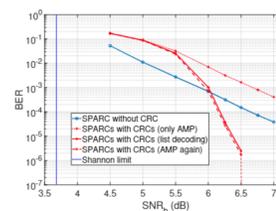
For our proposed concatenated coding scheme, we will discuss the two extra **red blocks** in details. The CRC Encoding will be graphically illustrated as follows.



## Simulation Results



- Figure shows the BER performance comparison of **low-rate** SPARCs with CRC codes using list decoding and original SPARCs without CRC codes using only AMP.
- The figure shows that SPARCs concatenated with CRC codes can provide a steep waterfall-like behavior above a threshold of  $\text{SNR}_b = 3.5$  dB.



- Figure shows the BER performance comparison of **high-rate** SPARCs with CRC codes using list decoding and original SPARCs without CRC codes using only AMP.
- The figure shows that SPARCs concatenated with CRC codes can provide a steep waterfall-like behavior above a threshold of  $\text{SNR}_b = 6.5$  dB.

Setups for our simulation results are as follows:

- information sections  $L = 1000$ ,
- the size of each section  $M = 512$ ,
- CRC code information bits  $K = 100$ , with the generator polynomial  $g(x) = 0x97$ .

## Conclusion

- We introduced AMP decoding for SPARCs over **complex-valued** AWGN channels.
- We proposed a **concatenated coding scheme** that uses SPARCs concatenated with CRC codes on the encoding side and uses **list decoding** on the decoding side.
- Simulation results showed that the **finite-length performance** is significantly improved compared with the original SPARCs.

## Additional Information

The poster only discussed how to employ list decoding in SPARCs optimized by the iterative power allocation scheme; there are lots of interest directions for future work, and we name a few as follows.

- Apply this concatenated coding scheme to **spatially-coupled SPARCs**.
- Give an (**information**) **theoretical analysis** of our proposed list decoding scheme.
- Suitably fit this concatenated coding scheme in **unsourced random access** scenario.

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